

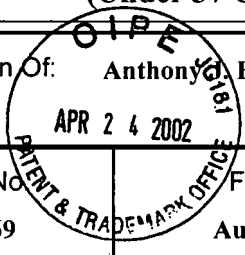
#3

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
0112300-766

In Re Application Of: **Anthony G. Baerlocher**



Serial No  
09/682,369

Filing Date  
August 24, 2001

Examiner  
Unknown

Group Art Unit

**RECEIVED**  
APR 29 2002  
TECHNOLOGY CENTER R3700

Title: **GAMING DEVICE HAVING AN AWARD DISTRIBUTOR AND AN AWARD ACCUMULATOR BONUS GAME**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0112300-766

In Re Application: Anthony G. Baerlocher

Serial No.  
09/682,369

Filing Date  
August 24, 2001

Examiner  
Unknown

Group Art Unit  
3713

GAMING DEVICE HAVING AN AWARD DISTRIBUTOR AND AN AWARD ACCUMULATOR

RECEIVED  
APR 29 2002  
TECHNOLOGY CENTER R3700

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: 4/24/02

Adam H. Masia (Reg. No. 35,602)  
BELL, BOYD & LLOYD LLC  
P. O. Box 1135  
Chicago, Illinois 60690

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Baerlocher  
Appl. No.: 09/682,369  
Filed: August 24, 2001  
Title: GAMING DEVICE HAVING AN AWARD DISTRIBUTOR AND  
ACCUMULATOR BONUS GAME  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 0112300-766

RECEIVED  
APR 29 2002  
TECHNOLOGY CENTER R3700

Honorable Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,695,053	September 22, 1987	Vazquez, Jr. et al.
5,342,047	August 30, 1994	Heidel et al.
5,823,874	October 20, 1998	Adams
5,848,932	December 15, 1998	Adams
5,882,261	March 16, 1999	Adams
5,911,418	June 15, 1999	Adams
5,951,397	September 14, 1999	Dickinson
5,980,384	November 9, 1999	Barrie
5,997,401	December 7, 1999	Crawford
6,015,346	January 18, 2000	Bennett
6,089,976	July 18, 2000	Schneider et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,089,978	July 18, 2000	Adams
6,102,798	August 15, 2000	Bennett
6,120,031	September 19, 2000	Adams
6,126,542	October 3, 2000	Fier
6,159,095	December 12, 2000	Frohm et al.
6,159,097	December 12, 2000	Gura
6,159,098	December 12, 2000	Slomiany et al.
6,174,235 B1	January 16, 2001	Walker et al.
6,190,255 B1	February 20, 2001	Thomas et al.
6,210,279 B1	April 3, 2001	Dickinson

#### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0945837 A2	September 29, 1999	European
EP 0984409 A2	March 8, 2000	European
W00/12186	March 9, 2000	PCT

#### **OTHER DOCUMENTS**

Video Wheel of Fortune Brochure written by IGT, published in 1999.

Wheel of Fortune Brochure written by IGT, published in 1998.

Top Dollar Brochure written by IGT, published in 1998.

Neon Nights Brochure written by IGT, published in 2000.

Neon Nights Article written by Strictly Slots, published in December 2000.

Texas Teas Brochure written by IGT, published in 2000.

Winning Bid Brochure written by WMS Gaming, Inc., published prior to 2001.

Fortune Cookie Brochure written by IGT, published in 2000.

Cash Box Advertisement written by Anchor Games/IGT, published in 2000.

Regis Cash Club Advertisement written by IGT, published in 2002.

Jackpot Party Brochure and Articles written by WMS Gaming, Inc., published in 1998.

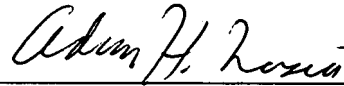
By George Advertisement written by IGT, gaming device shown in October 2000, advertisement published in 2002.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Adam H. Masia  
Reg. No.35,602  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4284